

Pre-Viva Talk:
Parsing Jazz:
*Harmonic Analysis of Music Using
Combinatory Categorical Grammar*

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Supervisors:

Mark Steedman

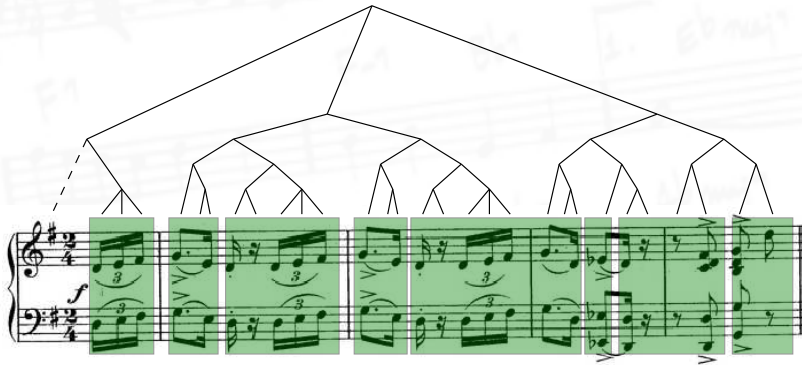
Sharon Goldwater

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15th March 2013

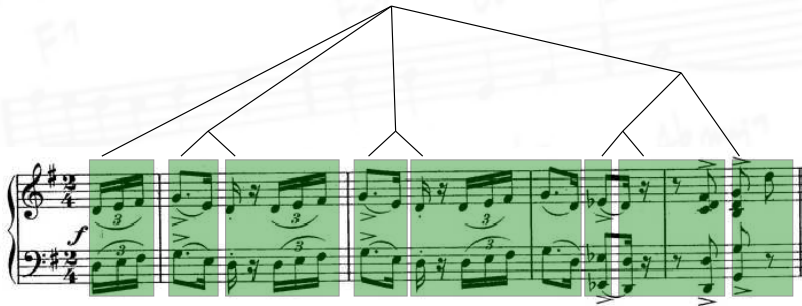
Introduction

- Structures underlying music
- Hierarchical structures
- Metrical structure
- Harmonic structure



Introduction

- Structures underlying music
- Hierarchical structures
- Metrical structure
- Harmonic structure



Approaches to Musical Analysis

- Varying goals:
 1. model/aid compositional process
 2. **model listener's cognition**
 3. suggest interpretations
- Often not clearly defined

Thesis

- Tonal harmony has a syntax like that of language
- Statistical parsing can be used to infer harmonic structure

Contributions:

- Formal grammar for syntax of harmony
- Harmonic analysis by parsing
- Practical statistical parsing of chord sequences
- Extension to analysis of performance data

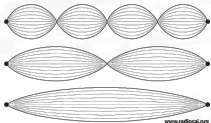


A Few Applications (speculative)

- Automatic generation:
 - melodic variations
 - accompaniments
- Song identification
- Language modelling for transcription

Consonance and Harmony

- Simultaneous notes create *dissonance / consonance*

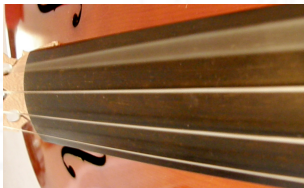


- Used by composers:
tension / relaxation

- *Harmony*:
formation of chord phrases



- Relationships between chords
- Expectation / fulfilment



Approaches to Harmonic Analysis



Rameau (1722): *Traité de l'harmonie*

Roman numeral analysis

D: I IV I IV V⁷ I IV⁶ V I



Key of D



Approaches to Harmonic Analysis

Rameau (1722): *Traité de l'harmonie*

Roman numeral analysis

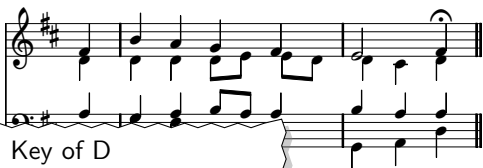
D: I IV I IV⁷ I IV⁶ V I



Riemann (1893): *Vereinfachte Harmonielehre*

Functional analysis

T S T S D T S D T



Key of D



T Sp Dp S D Tp B⁷



Approaches to Harmonic Analysis

Rameau (1722): *Traité de l'harmonie*

Roman numeral ana

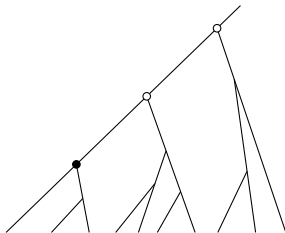
D: I IV I IV



Piemann (1903): *Vereinfachte Harmonielehre*

Lerdahl & Jackendoff (1983):

A Generative Theory of Tonal Music



S D T



Approaches to Harmonic Analysis

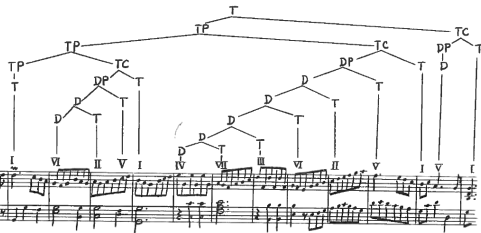
Rameau (1722)
Roman numerals

D: I IV



Winograd (1968), Keiler (1978),
Steedman (1984), Rohrmeier (2011)

Structured functional analysis



Harmonielehre

D T



Lerdahl &
A Generat



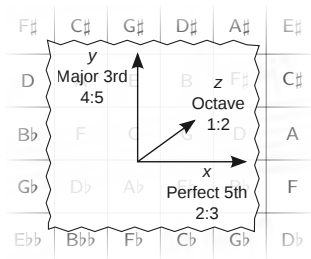




Tonal Space



- Longuet-Higgins' formalization of harmonic tonal theory
- Tonal relations between notes
 - Ambiguous in performance
- Harmonic analysis disambiguates tonal relations



Harmony in the Tonal Space

C♯	G♯	D♯	A♯	E♯	B♯	F♯♯	C♯♯	G♯♯	D♯♯	A♯♯
A	E	B	F♯	Tonic	D♯	A♯	E♯	B♯	F♯♯	
F	C	G	D	A	E	B	Dominant	G♯	D♯	
D♭	A♭	E♭	B♭	F	C	G	D	A	E	B
B♭♭	F♭	C♭	G♭	D♭	A♭	E♭	B♭	F	C	G
G♭♭	D♭♭	A♭♭	E♭♭	B♭♭	F♭	C♭	G♭	D♭	A♭	E♭
E♭♭♭	B♭♭♭	F♭♭	C♭♭	G♭♭	D♭♭	A♭♭	E♭♭	B♭♭	F♭	C♭

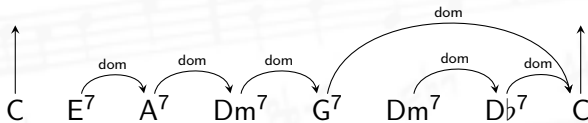
Functional Harmony

C#	G#	D#	A#	E#	B#	F##	C##	G##	D##	A##
A	E	B	F#	C#	G#	D#	A#	E#	B#	F##
F	C	G	D	A	E	B	F#	C#	G#	D#
D \flat	A \flat	E \flat	B \flat	F	C	G	D	A	E	B
B $\flat\flat$	F \flat	C \flat	F	C	G	D	F	C	G	D
G $\flat\flat$	D $\flat\flat$	A $\flat\flat$	E $\flat\flat$	B $\flat\flat$	F \flat	C \flat	G \flat	D \flat	A \flat	E \flat
E $\flat\flat\flat$	B $\flat\flat\flat$	F $\flat\flat$	C $\flat\flat$	G $\flat\flat$	D $\flat\flat$	A $\flat\flat$	E $\flat\flat$	B $\flat\flat$	F \flat	C \flat

Diagram illustrating Functional Harmony. The table shows notes in various positions. A green box highlights the notes A, E, B in the third row and F, C, G, D in the fourth row. Below the fourth row, labels indicate functional roles: Subdominant (under F), Tonic (under C), and Dominant (under G). Arrows point from C to F and from G to C.

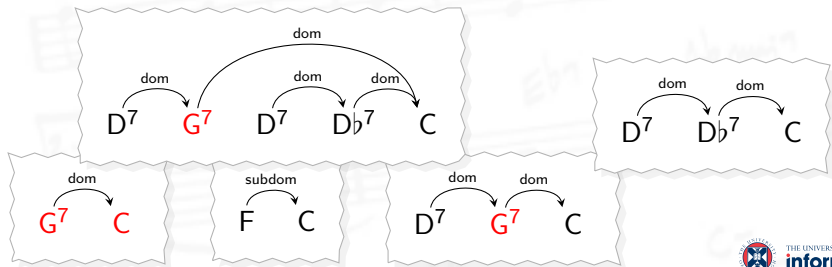
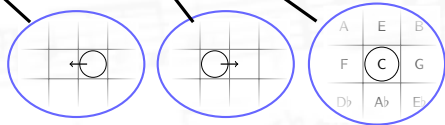
Harmonic Analysis

- Functional harmonic structure
- Segmentation into chords
- Identification of keys
- Functional relationships between chords



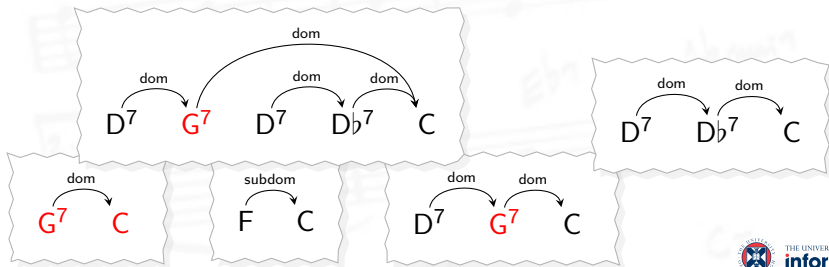
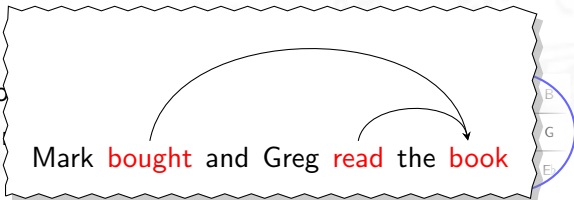
Harmonic Analysis

- Chords function as: **dominant**, **subdominant** or **tonic**
- Dominant-tonic resolution
- Subdominant-tonic resolution
- Recursion
- Substitution
- Delayed resolution: **coordination**



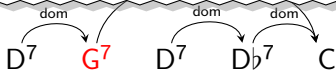
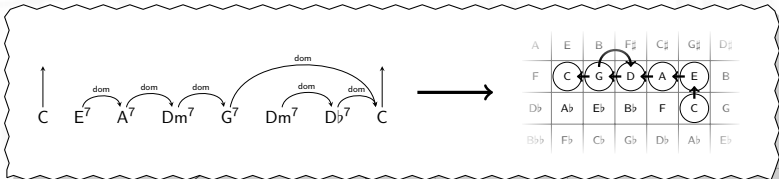
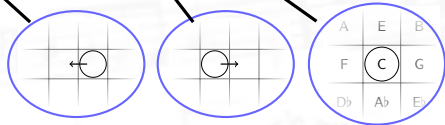
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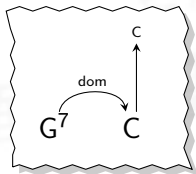


Harmonic Analysis

- Chords function as: **dominant**, **subdominant** or **tonic**
- Dominant-tonic resolution
- Subdominant-tonic resolution
- Recursion



Harmonic Combinatory Categorical Grammar



$$G^7 \Rightarrow G/C : \lambda x. \text{dom}(x)$$

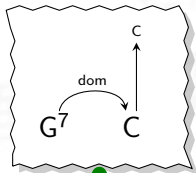
$$C \Rightarrow C : C$$

A	E	B	F \sharp
F	C	G	D
D \flat	A \flat	E \flat	B \flat

Note: In the original image, the nodes C and G in the second row are circled, and an arrow points from G to C.

$$\frac{\frac{G^7}{G/C} \quad C}{G-C} \rightarrow$$

Harmonic Combinatory Categorical Grammar



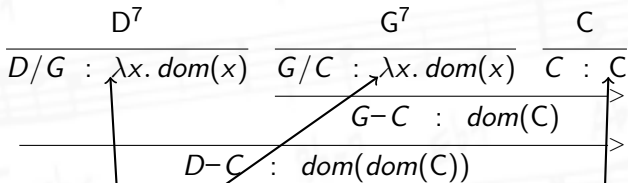
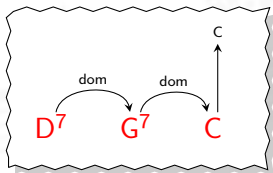
$$G^7 \Rightarrow G/C : \lambda x. \text{dom}(x)$$

$$C \Rightarrow C : C$$

A	E	F	F#
F	C	G	D
D _b	A _b	E _b	B _b

$$\frac{\frac{G^7}{G/C : \lambda x. \text{dom}(x)} \quad \frac{C}{C : C}}{G-C : \text{dom}(C)} \rightarrow$$

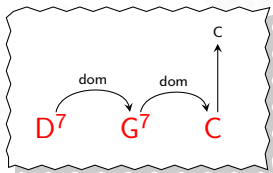
Harmonic CCG: Recursion



Dom: $G^7 \Rightarrow G/C : \lambda x. dom(x)$

Ton: $C \Rightarrow C : C$

Harmonic CCG: Recursion

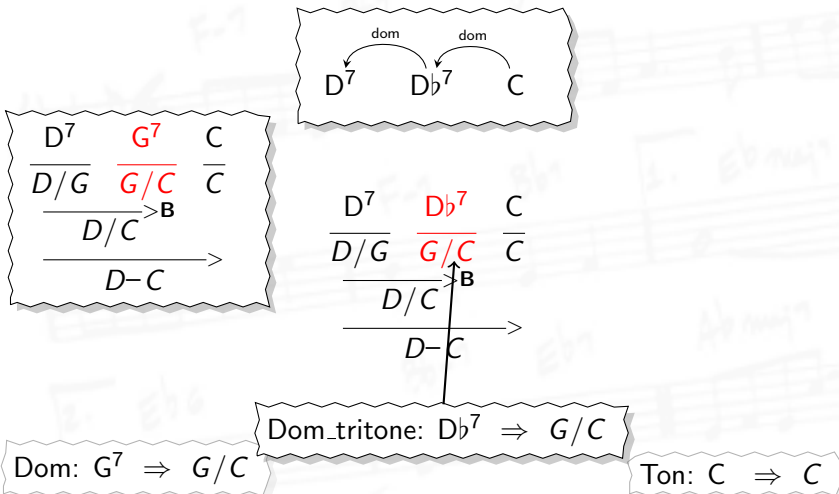


$$\begin{array}{c}
 \begin{array}{ccc}
 \overline{D^7} & \overline{G^7} & \overline{C} \\
 \hline
 D/G : \lambda x. dom(x) & G/C : \lambda x. dom(x) & C : C
 \end{array} \\
 \hline
 D/C : \lambda x. dom(dom(x)) \quad \text{>B} \\
 \hline
 D-C : dom(dom(C)) \quad \text{>}
 \end{array}$$

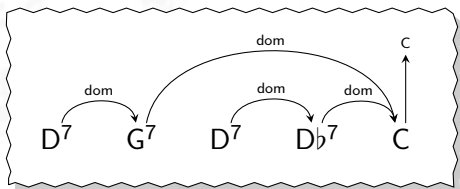
Dom: $G^7 \Rightarrow G/C : \lambda x. dom(x)$

Ton: $C \Rightarrow C : C$

Harmonic CCG: Substitution



Harmonic CCG: Coordination



$$\begin{array}{ccccc}
 D^7 & G^7 & D^7 & D^b7 & C \\
 \hline
 D/G & G/C & D/G & G/C & C \\
 \hline
 & \xrightarrow{>B} & & \xrightarrow{>B} & \\
 D/C & & D/C & & \\
 \hline
 & & & & \& \\
 & & & & D/C \\
 \hline
 & & & & \xrightarrow{\hspace{1.5cm}} \\
 & & & & D-C
 \end{array}$$

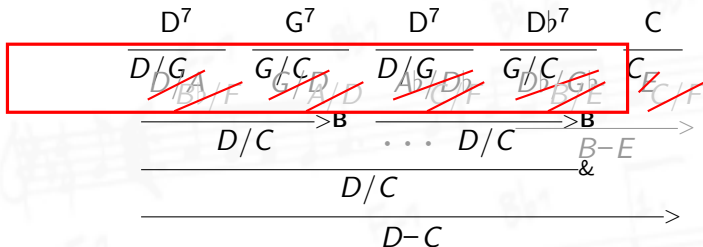
Derivation Model

- Supervised statistical parsing model
- Parsing model: Hockenmaier & Steedman (2002)¹
- Model of CCG derivations: PCCG
- Supervised training, smoothing
- CKY parser with beam

$$\begin{array}{ccccc}
 D^7 & G^7 & D^7 & D_b^7 & C \\
 \hline
 D/G & G/C & D/G & G/C & C \\
 \hline
 & \xrightarrow{D/C} & \xrightarrow{D/C} & & \\
 & & & & \& \\
 \hline
 & & D/C & & \\
 \hline
 & & & & \xrightarrow{D-C}
 \end{array}$$

¹Generative models for statistical parsing with Combinatory Categorical Grammar. ACL

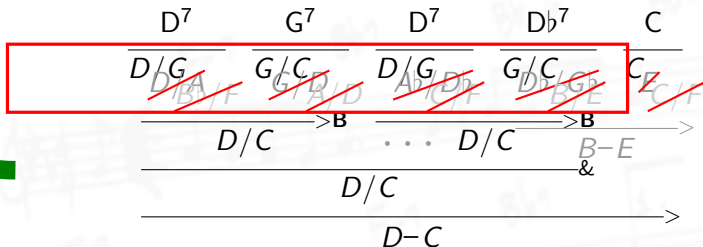
Supertagging



- Experimented with n-gram models
- Small corpus: trigrams don't help
- For parsing experiments:
 - bigram (HMM)
 - Katz backoff
 - Witten-Bell discounting
 - adaptive supertagging (Clark & Curran, 2007)²

²Wide-Coverage Efficient Statistical Parsing with CCG and Log-Linear Models

Supertagging

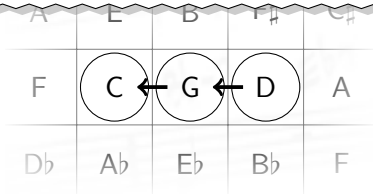
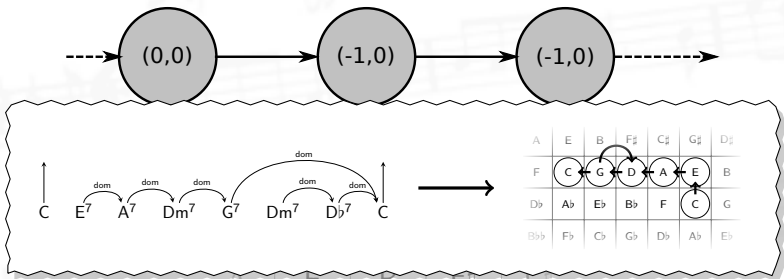


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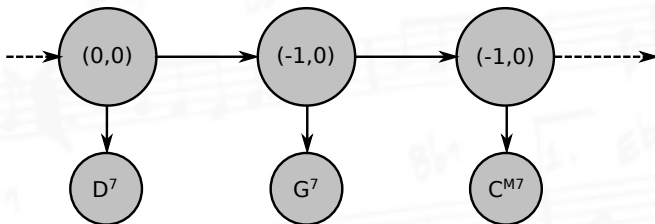
Baseline: HMMPATH

- Construct path with HMM:



Baseline: HMMPATH

- Construct path with HMM:

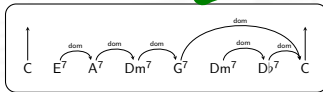
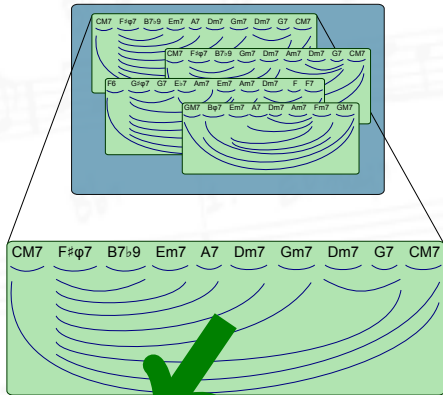


A	E	B	F#	C#
F	C	G	D	A
Db	Ab	Eb	Bb	F

Arrows in the table point from G to C and from D to G.

Jazz Corpus

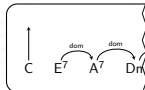
- Annotated training corpus
- Jazz chord sequences
- Full grammatical derivation annotated
- → full harmonic analysis
- 74 sequences: ~3k chords
- Cross-validation



Jazz Corpus

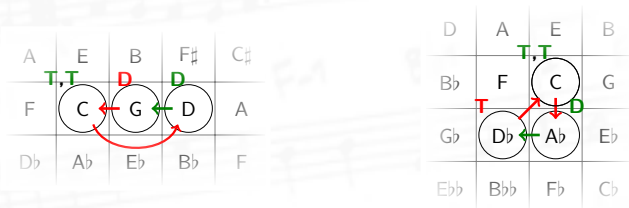
- Annotated training corpus
- Jazz chord sequences
- Full grammatical derivation annotated
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Afternoon in Paris
Alice in Wonderland
Anthropology
Beauty and the Beast
Black Orpheus
Blackberry Winter
Blue in Green
Boplicity
Bud Powell
Byrd Like
Call Me Irresponsible
Can't Help Lovin' Dat Man
Chelsea Bridge
A Child is Born
Chippie
Chitlins Con Carne
Como En Vietnam
Confirmation
Crescent
Dear Old Stockholm



Evaluation Metric

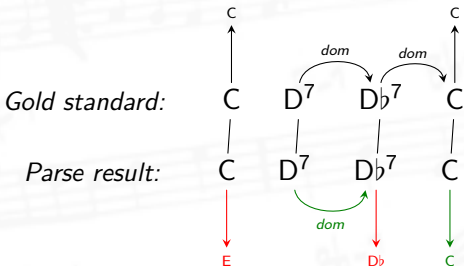
Tonal space edit distance



Precision, recall, f-score

Evaluation: Dependency Recovery

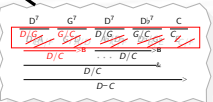
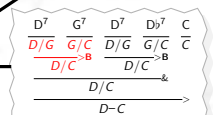
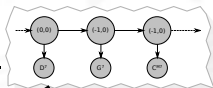
- Dependency recovery of harmonic analysis



Results

- Tonal space metric:

	P (%)	R (%)	F (%)	Cov. (%)
HMMPATH	77.44	84.87	80.98	100
PCCG	92.29	88.78	90.50	97.37
ST+PCCG	90.18	92.79	91.46	100

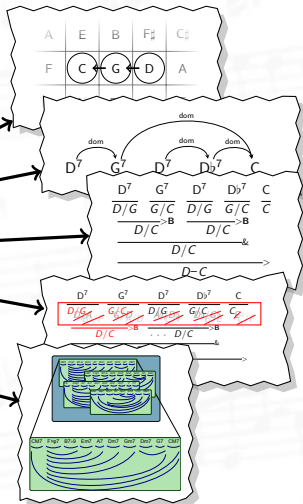


- Dependency recovery:

	P (%)	R (%)	F (%)
PCCG	90.25	86.83	88.51
ST+PCCG	88.22	90.78	89.48

Conclusion

- Harmonic analysis in the tonal space
- Hierarchical structure in harmony
- Harmonic adaptation of CCG
- Statistical parsing, adapted from NLP
- Chord sequence treebank



Conclusion

Thesis:

- Tonal harmony has a syntax like that of language
 - Statistical parsing can be used to infer harmonic structure
-
- CCG grammar of harmonic structure
 - Statistical parser for harmonic analysis
 - Parser outperforms HMM baseline
 - Extension to analysis of performances

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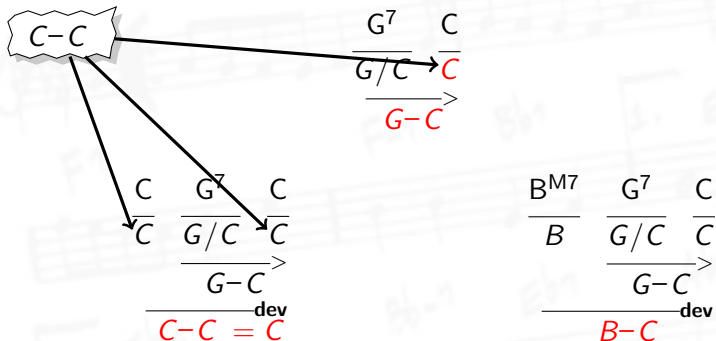


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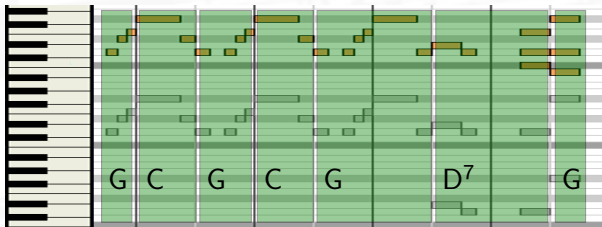
Journal of Music Theory, 12, 2–49.

Atomic Categories



Parsing Performances

- Can we parse a musical performance?

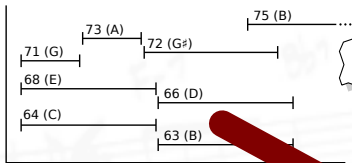


- Proof-of-concept extension
- HMM chord recognizer
- Parse chord labels as before

Parsing Performances

- Parser beats baseline
- Much lower results than chord task
- Chord recognizer over-commits, parse a lattice
- Need rhythmic/metrical models
- Harder modelling task:
voice-leading, polyphony, chord inversion, octave separation, ...
- Evaluation harder: model does segmentation
- Unlabelled data

MIDI Parsing

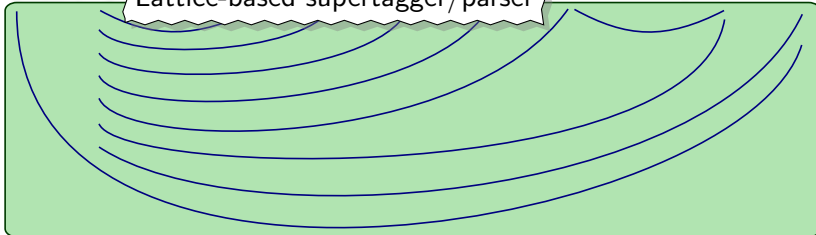


MIDI input

Chord recognizer: lattice

CM7	F \sharp ϕ ⁷	B ^{7b9}	Em ⁷	Dm ⁷	Gm ⁷	Dm ⁷	G ⁷	CM7	
Em	Am	Co ⁷	G ⁷	F \sharp m ⁷	Am	Do ⁷	F ⁶	B ϕ ⁷	Am ⁷
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮

Lattice-based supertagger/parser



Tonal Space

C#	G#	D#	A#	E#	B#	F##	C##	G##	D##	A##
A	E	B	F#	C#	G#	D#	A#	E#	B#	F##
F	C	G	D	A	E	B	F#	C#	G#	D#
D \flat	A \flat	E \flat	B \flat	F	C	G	D	A	E	B
B $\flat\flat$	F \flat	C \flat	G \flat	D \flat	A \flat	E \flat	B \flat	F	C	G
G $\flat\flat$	D $\flat\flat$	A $\flat\flat$	E $\flat\flat$	B $\flat\flat$	F \flat	C \flat	G \flat	D \flat	A \flat	E \flat
E $\flat\flat\flat$	B $\flat\flat\flat$	F $\flat\flat$	C $\flat\flat$	G $\flat\flat$	D $\flat\flat$	A $\flat\flat$	E $\flat\flat$	B $\flat\flat$	F \flat	C \flat

Roman Numeral Tonal Space

#I ⁻	#V ⁻	#II ⁻	#VI ⁻	#III	#VII	##IV	##I	##V ⁺	##II ⁺	##VI ⁺
VI ⁻	III ⁻	VII ⁻	#IV ⁻	#I	#V	#II	#VI	#III ⁺	#VII ⁺	#IV ⁺
IV ⁻	I ⁻	V ⁻	II ⁻	VI	III	VII	#IV	#I ⁺	#V ⁺	#II ⁺
bII ⁻	bVI ⁻	bIII ⁻	bVII ⁻	IV	I	V	II	VI ⁺	III ⁺	VII ⁺
bbVII ⁻	bIV ⁻	bI ⁻	bV ⁻	bII	bVI	bIII	bVII	IV ⁺	I ⁺	V ⁺
bbV ⁻	bbII ⁻	bbVI ⁻	bbIII ⁻	bbVII	bIV	bI	bV	bII ⁺	bVI ⁺	bIII ⁺
bbbIII ⁻	bbbVII ⁻	bbIV ⁻	bbI ⁻	bbV	bbII	bbVI	bbIII	bbVII ⁺	bIV ⁺	bI ⁺

Parsing Time

Average parse time per chord sequence:

Model	Mean	(std. dev.)
HMMPATH	0:03	(0:01)
PCCG	34:17	(75:23)
ST+PCCG	9:22	(33:32)

Extra Slides

Bibliography

Atomic Categories

MIDI Parsing

Tonal Space

Parsing Time

